

# STUDENT COMPLAINTS

STUDENT USER GUIDE

Version 1.0

June 2019

# Table of Contents

1.	Intro	oduct	tion	4
2.	Rais	e Coi	mplaint Case	5
	2.1.	Crea	ate Complaint	5
	2.2.	Sub	mit Complaint	7
3.	Mar	nage	Your Complaint Case	8
	3.1.	Acce	ess Your Complaint in Your Case List	8
	3.2.	Viev	w and Update Your Case	9
	3.2.2	1.	Add Evidence and Information	9
	3.2.2	2.	View History	12
	3.2.3	3.	Raise a Stage 3 Appeal	13
	3.2.4	4.	Respond to Meeting Notification	16

# **Document Version History**

Version	Change Details	Author	Date
0.1	Document creation	Mel Johnson	Mar-19
0.2	Post UAT updates to each section and review by Stu3 developer	Mel Johnson	Jun -19
1.0	Issued version to UoW	Mel Johnson	Jun-19

# 1. Introduction

The Student Complaints process is managed in e: Vision.

This document details the online process for making a complaint and accessing your complaint case whilst it is being processed by the University. You can access your case online at any time and additionally may be prompted via email notification to log in to e: Vision to view or update details against your case.

# 2. Raise Complaint Case

You can raise a complaint via the online process in e: Vision if you believe they have a valid case which can be supported.

## 2.1. Create Complaint

 Log on to e: Vision and select the *Raise Student Complaint case* link in My Profile > My Self-Service section of the page:

UNIVERSITY OF WESTMINSTER	IVERSITY OF STMINSTER My Profile Payments Welcome View my Correspondence Disability Support Contact Us									
48 Announcements										
All 2018/9 module marks are currently under embargo whilst marking and moderation takes place. Your final results for the year will be published on Friday 14 June										
1 My Details	✓ My Self-Service									
View My Profile	PG Dip Prof Practice Architecture PT, 2018/19, Fully Enrolled, Request official letter									
Search for Module Information	View and pay fees									
View/Edit Contact Details	View Mitigating Circumstances Claims									
View my Correspondence	Submit a Mitigating Circumstances Claim									
	Make an Overseas Opportunity Application									
	Academic Misconduct Cases									
	Raise Academic Appeals Case									
	View Existing Academic Appeals Cases									
	Raise Student Complaint Case									
	View Existing Student Complaint Cases									

The system displays the *Raise Complaint* page which contains some important information about the Complaints Procedure.

Note that the complaint form should be submitted within 90 days of the incident as detailed in the Complaints Procedure. If your complaint has been made outside of these time limits please detail the reason for this and provide evidence where available in the *Time Limit* section of the form.

2. Complete the online form then click on the **Proceed** button.

STAGE 1 INFORMAL PROCESS
Please provide details of the action you have taken to try to resolve your complaint at the informal stage. It will be useful to include details of whom you approached to resolve your complaint and why you are dissatisfied with the Stage 1 informal outcome.
If you have not used Stage 1 of the complaint procedure and you submit this form, please be advised that you may be contacted and asked to raise your concern informally before your formal complaint can be considered.
In exceptional circumstances, where the serious nature of the complaint means that it would not be appropriate to attempt an informal resolution please explain why you consider this is the case.
These are details of the actions I have taken to try to resolve my complaint at the informal stage.
Stage 2 Formal Complaint Statement
Your statement must set out all facts and arguments on which you wish to rely, please outline who or what is being complained about, a chronological order of the events that took place and the impact you have faced as a result.
Please be assured that any information you give us will be treated sensitively and in the strictest confidence.
This is my statement of all the facts and arguments .
How do you propose that your complaint could be resolved to your satisfaction?
This is how I propose that my complaint could be resolved to my satisfaction
TIME LIMIT
The complaint form should be submitted within 90 days of the incident as detailed in the Complaints Procedure. If your complaint has been made outside of these time limits please detail below the reason for this and provide evidence where available.
Cancel Proceed

The case is saved and the system displays the *Complaint –View Case* page where is it possible to view the case information you have added and to enter further details prior to submission. It is recommended that you add any evidence or information regarding your complaint here - Go to document <u>Section 3.2.1. Add Evidence and Information</u>.

Complaint - View Case									
Student and course									
Student Code	1111111 Student Name Joe Test								
School	Architecture and Cities		College	Design, Creative and Digital Industries					
Course	PG Diploma Professional Practice in	Architecture PT Name of	Course Leader	A Bloggs					
Complaint Details									
Case ID	SC_AC_0012136_001								
Created Date	15 June 2019								
Statement	This is my statement of all the facts	and arguments .							
Resolution	This is how I propose that my compl	aint could be resolved to my sa	tisfaction						
Informal Process	These are details of the actions I hav	ve taken to try to resolve my cor	mplaint at the info	ormal stage.					
Time Limit									
Status	Unsubmitted								
Allocated to	Unallocated								
Information & Evidence	use the <sup>#</sup> Add Evidence and Information	» <sup>»</sup> buttan balaw All avidance m	ust be ippluded at	the time of submission of	you do not have any				
	his by adding a "Note" using the "Add E								
Submitted by	D	ate/Time		Note	Files				
Add Evidence and Inform	ation View History								
SUBMIT CASE									
ENSURE you have uploa your appeal, Academic	You may now submit this case to the Academic Standards Team. Please ENSURE you have uploaded any/all documents that are needed to support your appeal, Academic Standards cannot process cases with insufficient evidence to support your claim.								
	Exit C	omplaints Back to	o Case List						

Note: If you do not wish to submit the complaint as yet, you can click on **Exit Complaints** or **Back to** 

Case List at the foot of the page:

- Exit Complaints button returns you to the main e: Vision menu
- Back to Case List button takes you to a dashboard of your complaints which displays the case you have just added

# 2.2. Submit Complaint

Click on the Submit to Academic Standards Team button in the SUBMIT CASE section for the Complaint

- View Case page.

#### SUBMIT CASE

You may now submit this case to the Academic Standards Team. Please ENSURE you have uploaded any/all documents that are needed to support your appeal, Academic Standards cannot process cases with insufficient evidence to support your claim.

Submit to Academic Standards Team

Your case is submitted to the Academic Standards Team for review.

**Note**: The Academic Standards Manager will review your complaint and determine whether or not there is evidence of permissible grounds. You will be notified of their decision within 20 working days of receipt of the complaint.

# 3. Manage Your Complaint Case

You will receive email notifications at various point during the complaint process which will prompt you to log in to e: Vision to view or update details against your case.

You can access your case(s) via the Complaint - Cases List.

#### 3.1. Access Your Complaint in Your Case List

 Log on to e: Vision and select the View Existing Complaint Cases link in My Profile > My Self-Service section of the page:

VERSITY OF TMINSTER My Profile Payments Welcom	View my Correspondence Disability S	upport Co	ntact Us				
🕫 Announcements							
All 2018/9 module marks are currently under embargo	whilst marking and moderation takes place. Ye	our final result	ts for the year will be published on Friday 14 June				
L My Details		~	✓ My Self-Service				
View My Profile			PG Dip Prof Practice Architecture PT, 2018/19, Fully Enrolled, Request official letter				
Search for Module Information			View and pay fees				
View/Edit Contact Details			View Mitigating Circumstances Claims				
View my Correspondence			Submit a Mitigating Circumstances Claim				
			Make an Overseas Opportunity Application				
			Academic Misconduct Cases				
			Raise Academic Appeals Case				
			View Existing Academic Appeals Cases				
			Raise Student Complaint Case				
			View Existing Student Complaint Cases				

The system displays the *Complaints - Cases List* which contains complaint cases you have raised. From here you can track the *Stage* and *Status* of a case as well the member of the Academic Standards Team managing it.

Somplaint - Cases List															
The list below is a list of all the Complaint cases you have raised.															
Search:															
Case ID	Student Name	ţţ	Course	ļţ	College	11	L1 School	Stage	ļţ	L1 Status	Allocated to	11	Case Submitted 11 Date	Closure Date	11
SC_AC_0012136_001	Joe Test		PG Dip Prof Practice Architecture PT		Design, Creative and Digital Industries		Architecture and Cities	2		Investigation In Progress			15/Jun/2019		
Showing 1 to 1 of 1 entries															
Back															

Select the case by clicking on the **Case ID** link.

They system displays the *Complaint – View Case* page.

#### 3.2. View and Update Your Case

The *Complaint –View Case* page displays the complaint details and the options to:

- Add Information and Evidence to support your case
- View History of your case

#### 3.2.1. ADD EVIDENCE AND INFORMATION

Select Add Evidence and Information button in the Information & Evidence section of the Complaint – View Case page.

Information & Evidence										
To upload evidence please use the "Add Evidence and Information" button below. All evidence must be included at the time of submission. If you do not have any evidence please indicate this by adding a "Note" using the "Add Evidence and Information" button. To see all communications regarding your Appeal click "History" below.										
Submitted by	Note	Files								
Add Evidence and Information View History										

The system displays a *Note* field and the options to:

- Save Note (i.e. Add a Note without attaching supporting files)
- Save Note & Upload Files (i.e. Add a *Note* and attach supporting files)

Enter narrative text in the *Note* field then click the **Save Note** button to add the *Note* without attaching supporting files.

The system saves the *Note* and returns to the *Complaint –View Case* page where it is displayed in the *Information and Evidence* section of the page.

Information & Evidence									
To upload evidence please use the "Add Evidence and Information" button below. All evidence must be included at the time of submission. If you do not have any evidence please indicate this by adding a "Note" using the "Add Evidence and Information" button. To see all communications regarding your Appeal click "History" below.									
Submitted by	Date/Time	Note	Files						
TEST, Joe	13/Jun/2019 12:41:25	This is specific evidence regarding my case.	No Documents Uploaded						
Add Evidence and Information View History									

To attach supporting files to a *Note*, click the **Save Note & Upload Files** button instead.

The system displays the Upload Evidence page:

Upload Evidence									
Case Details									
Case ID:	SC_AC_0012136_001	Student	Joe Tes						
School	Architecture and Cities	College	Design, Creative and Digital Industries						
Course	PG Diploma Professional Practice in Architecture PT	Name of Course Leader	A Bloggs						
Stage	1								
Your Note	This is more evidence to support my case including File	A which includes slides from	lecture B of module 1.						
but in order to fully investig	dependent documentary evidence you are enclosing with y ate your case, additional information submitted to Mitiga ust be included at the time of submission.								
Document List									
No Documents Upload	No Documents Uploaded								
Upload Document									
Next									

Click on the **Upload Document** button.

The system displays the *File Uploader* page:

Upload - Academic Appeals - published for student							
Maximum file size: 4Mb Allowable file extensions: DOC, DOCX, GIF, JFIF, JPE, JPEG, JPG, ODI, PDF, PNG, TIF, TIFF							
File uploader Browse My Computer	Upload						
Return to previous page							

Click on the Browse My Computer button to find the file to upload:

#### e:Vision [Student Casework: Student Complaints]

╞ 🕨 This PC	> Desktop > Academic Appeal	Search Academic	Q		
New folder					?
↑ 🗌 Nam	Date modif	fied	Туре		Size
✓ a A	AA Evidence 1.docx 01/03/2019	12:28	Microsoft Word Do	ocument	
× <					>
File name:	AA Evidence 1.docx	~	All Files (*.*)		$\sim$
			Open	Canc	el

Click on Open to add the selected file

The system displays the file name in the File Uploader page in a state of Awaiting Upload

Upload - Academic Appeals - published for student
Maximum file size: 4Mb Allowable file extensions: DOC, DOCX, GIF, JFIF, JPE, JPEG, JPG, ODI, PDF, PNG, TIF, TIFF
File uploader
Browse My Computer Upload
AA Evidence 1.docx (11 kb) 🛊 🗸 🗸
File Status Awaiting Upload 🕿
Return to previous page



**A** The file is **not** yet attached to the case.

Click the **Upload** button to attach the file to the case.

Upload - Academic Appeals - published for student	
Maximum file size: 4Mb Allowable file extensions: DOC, DOCX, GIF, JFIF, JPE, JPEG, JPG, ODI, PDF, PNG, TIF, TIFF	
File uploader	
Browse My Computer Upload	
AA Evidence 1.docx (11 kb)	•
File Status Successfully Uploaded 100	%
Return to previous page	
Rectilit to bleatong hage	

The system displays the file with a status of 100% Successfully Uploaded

Click on Return to previous to page to view the Upload Evidence page which contains Your Note and the Document List (the file(s) you have uploaded).

I	Upload Evidence			
	Case Details			
	Case ID:	SC_AC_0012136_001	Student	Joe Test
	School	Architecture and Cities	College	Design, Creative and Digital Industries
	Course	PG Diploma Professional Practice in Architecture PT	Name of Course Leader	A Bloggs
	Stage	1		
	Your Note	This is more evidence to support my case including File	A which includes slides from I	ecture B of module 1.
1	but in order to fully investiga	ependent documentary evidence you are enclosing with y te your case, additional information submitted to Mitigat st be included at the time of submission.		
I	Document List <ul> <li>AA Evidence 1.docx - Delete</li> </ul>	a Document		
	Upload Document			
			Next	

It is possible to add as many files to the *Note* as required via the **Upload Document** button.

It is also possible to delete a file <u>before</u> clicking the Next button by selecting the option **Delete Document** (beside the file name).

Click on the **Next** button to return to the *Complaint - View Case* page.

The system uploads the information and evidence to the case and displays the *Note*:

		"Add Evidence and Information" button below. All evidence must be included at the time of submission. If Iding a "Note" using the "Add Evidence and Information" button. To see all communications regarding you			
Submitted Date/Time Note					
TEST, Joe	13/Jun/2019 12:41:25	This is specific evidence regarding my case.	No Documents Uploaded		
TEST, Joe	13/Jun/2019 12:49:17	This is more evidence to support my case including File A which includes slides from lecture B of module 1.	AA Evidence 1.docx		

**A** Only *Notes* for the active stage are displayed on the *Complaint - View Case* page.

#### 3.2.2. View History

Click the **View History** button to view <u>all</u> *Evidence and Information* (i.e. added at any stage in the complaint process).

The system displays the *View Case History* page which contains per stage the list of *Notes*. Against each *Note* the following is displayed:

- Who is able to view the *Note* (e.g. Student, Staff)
- Who created the *Note* and when
- Note details
- Linked files

	Stu	dent Code	111111				St	tudent Name	Joe Test		
		School	Architecture and	d Cities				College	Design, C Industrie	reative and Digital s	
		Course	PG Diploma Prof	essional Prac	tice in Archite	ecture PT	Name of Course Leader A Bloggs				
Stage 3											
	tion & Evidence u can see all the i	nformation and	documents add	ded to all stag	es of this app	peal.					
ere you Stage	u can see all the i	nformation and		ded to all stag Allocated	es of this app Review	review Statement	Note Created	Created	Created	Note	Uploads
ere you Stage	u can see all the i	1			1			Created Date	Created Time	Note	Uploads
ere you Stage	a can see all the i Stage	1	Stage	Allocated	Review		Created			Note Outcome recorded as Partly Justified, evidence provided	Uploads AA Evidence 3b.docx
ere you Stage Stage	u can see all the i Stage Created Date	Stage Status	Stage Outcome Partly Justifie	Allocated	Review	Review Statement This statement details all facts and	Created By	Date	Time	Outcome recorded as Partly Justified, evidence	AA Evidence

Click on the **Back** button to return to the *Complaint - View Case* page.

### 3.2.3.Raise a Stage 3 Appeal

If your case has been closed further to initial review by the Academic Standards Team, you will have an opportunity to raise an appeal against the decision.

1. Click the **Stage 3 Appeal Against the Formal Complaint Decision** button in the *Complaints Details* section of the *Complaint - View Case* page.

Complaint Details	
Case ID	SC_AC_0012136_001
Created Date	15 June 2019
Statement	This statement details all facts and arguments to support my complaint
Resolution	This is how I propose that my complaint could be resolved to mysatisfaction
Informal Process	These are details of the action I have taken to try to resolve my complaint at the informal stage
Time Limit	I have been unwell (see GP letter)
Status	Closed - Partly Justifie
Allocated to	Mel Johnson
Stage 3 Appeal Against t	the Formal Complaint Decision

The system displays *Stage 3 Appeal Against the Formal Complaint Decision* page. This contains important information about the Stage 3 Appeal procedure.

- 1. Select **Grounds for appeal** by clicking on the appropriate checkbox(es) and enter a **Statement** supporting your request for Appeal.
- 2. Click on the **Proceed** button.

MPORTANT - PLEASE REA	AD THIS SECTION CAREFULLY				
o refer the matter for co • Your Stage 3 Appe • The Stage 3 Appea • The completed for why the grounds h	ed with the outcome of the Stage 2 Fo insideration by a Student Complaints al must be received within 10 workin, Il will only be considered on the grour m must stipulate clearly and unamb have been met. advised to consult with the Student	s Appeal Panel. g days of notification of nds as set out below an iguously the grounds u	the Stage 2 decision. Late and different state and different states and the decision which the request is based on which the request is based on the states and the states	pplications will not be consid adline. eed, and include a comprehen	ered. sive statement explainir
bout You					
Student	Joe Test	School	Architecture and Cities	College	Design, Creative and Digital Industries
Course	PG Diploma Professional Practic	e in Architecture PT		Name of Course Leader	A Bloggs
Stage	2				
irounds for appeal *					
Grounds for appeal *	🗌 that there was a material in	regularity in the consid	eration of the academic app	eal at Stage 2	
	that new evidence has come appeal was submitted.	e to light to support the	appeal which could not reas	sonably have been made avai	able at the time the
	that the outcome was mani	festly unreasonable in	light of the evidence		
	🗌 that no reasons for the deci	sion were given, or that	the reasons given do not su	pport the decision	
	eans the University has not acted in a University is so significant that it ha different.				
Statement					
	ne reason why you are submitting thi xplain how you propose that your cor				ensive statement with
Please be assured that a	ny information you give us will be tre	ated sensitively and in	the strictest confidence.		
Statement	This is my statement clearly	defining the reason wh	y I am submitting this reque	st and explaining why the gro	ounds have been met.

The system displays the *Provide Evidence* page where it is possible to upload evidence to support your appeal –Go to document <u>Section 3.2.1. Add Evidence and Information</u>.

1. Click on the **Next** button.

Provide Evidence				
Case Details				
Case	ID: SC_A	C_0012136_001	Student	Joe Test
Scho	ool Archi	itecture and Cities	College	Design, Creative and Digital Industries
Cour	rse PG Di	iploma Professional Practice in Architecture PT	Name of Course Leader	A Bloggs
Sta	age 3			
You may upload any e Document List • <i>No Documents Up</i> Upload Document		uired to support your case here.		
		Next		

The request is submitted to the Academics Standards Team.

The system returns to the *Complaint - View Case* page where the:

- Complaint Details section is updated with the appeal request information
- Information & Evidence section is updated with a new record (Appeal Statement):

Information &	Evidence		
		Add Evidence and Information" button below. All evidence must be included at the time of submission. If you do r ding a "Note" using the "Add Evidence and Information" button. To see all communications regarding your Compli	
Submitted by	Date/Time	Note	Files
TEST, Joe	15/Jun/2019 20:15:55	Appeal Statement: This is my statement clearly defining the reason why I am submitting this request and explaining why the grounds have been met.	AA Evidence 4c.docx
Add Evidence	e and Information	View History	

#### 3.2.4. Respond to Meeting Notification

If the outcome of a Stage 3 investigation is that your complaint is fully or partly justified, your case will be moved to Stage 4 and you will receive notification of meeting invitation.

Stage 4 always involves a Hearing which you and appropriate staff are invited to attend.

- 1. Go to the *Meetings* section of the *Complaint –View Case* page to view the date, time and location of the meeting.
- 2. Click on the **Respond to Invitation** link in the *Actions* column.

Aeetings							
Date	Time	Location	Student Accepted Invite	Nominated Friend	Additional Information	Actions	
21/Jun/2019	11:30	Room 5, University of Westminister, 100 Great Portland Street				Respond to Invitation	

The system displays the Respond to Meeting Invitation page.

- 1. Select whether or not you can enter the meeting.
- 2. If you are attending and would like to bring a friend, enter the name.
- 3. Add supporting information to the text box and click the **Next** button when complete.

'Friend' is defined as a currently registered student of the University, a sabbatical officer of the University of Westminster Students' Union, or member of University staff. If you add a friend please include their **Student ID** or **Staff ID** in the *Name of Friend* field.

Respond to Meeting Invitatio	on				
Student and course					
Student	Joe Test	School	Architecture and Cities	College	Design, Creative and Digital Industries
Course Stage	PG Diploma Professional Practice in	Architecture PT		Name of Course Leader	A Bloggs
Meeting Time Meeting Date	11:30 21/Jun/2019				
Meeting Location	Room 5, University of Westminister, 1	00 Great Portland St	reet		
Will you attend this meeting?	Yes				
Name of friend	Harry Haribo				
Confirm the name and     Provide any additional     Academic Appeal proc     Provide an indication of     Harry is registered on the	nied by a person at the Hearing please I relationship of any witnesses that you I evidence that you wish the Academic	u wish to call during Appeal Panel to cons	the hearing. sider that has not previously	been submitted at stage one	e or stage two of the
	Back		Next		

The Academic Standards Team is notified of your invitation response.

The system returns to the *Complaint - View Case* page where a record of your response is added to the *Meetings* section of the page.

Meetings								
Date	Time	Location	Student Accepted Invite	Nominated Friend	Additional Information	Actions		
21/Jun/2019	11:30	Room 5, University of Westminister, 100 Great Portland Street	Yes	Harry Haribo	Harry is registered on the same course and is a friend. Please consider the additional information I have provided.	Respond to Invitation		

Note: It is possible to update your response by clicking on the **Respond to Invitation** link where you will be returned to the *Respond to Meeting Invitation* page.